



*Qfw*

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

Hidenori KAWATA

Group Art Unit: 2871

Application No.: 09/922,859

Examiner: Z. Q. Qi

Filed: August 7, 2001

Docket No.: 110097

For: ELECTRO-OPTICAL APPARATUS, ELECTRONIC DEVICE, SUBSTRATE FOR USE  
IN AN ELECTRO-OPTICAL APPARATUS, METHOD OF PRODUCING A SUBSTRATE  
FOR USE IN AN ELECTRO-OPTICAL APPARATUS, AND LIGHT SHIELDING FILM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

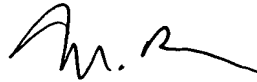
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance or other action that closes prosecution (e.g., Quayle Action).
- ☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

- ☒ 2. An English-language Abstract of the non-English language reference is attached hereto.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Michael Britton  
Registration No. 47,260

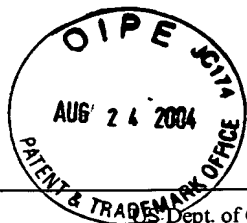
JAO:MQB/ale

Date: August 24, 2004

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

**DEPOSIT ACCOUNT USE  
AUTHORIZATION**

Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461

Sheet 1 of 1Form PTO-1449  
(REV. 8-83)U.S. Dept. of Commerce  
PATENT & TRADEMARK OFFICEATTY DOCKET NO.  
110097APPLICATION NO.  
09/922,859

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT  
Hidenori KAWATAFILING DATE  
August 7, 2001GROUP  
2871

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB<br>CLASS |
|---------------------|--|-----------------|------|------|-------|--------------|
|                     |  |                 |      |      |       |              |
|                     |  |                 |      |      |       |              |
|                     |  |                 |      |      |       |              |
|                     |  |                 |      |      |       |              |
|                     |  |                 |      |      |       |              |
|                     |  |                 |      |      |       |              |
|                     |  |                 |      |      |       |              |

## FOREIGN PATENT DOCUMENTS

|  |  | DOCUMENT NUMBER   | DATE       | COUNTRY | CLASS | SUB<br>CLASS |
|--|--|---|------------|---------|-------|--------------|
|  |  | KR 1998-031802 (w/Abstract and<br>partial English-language translation) | 07/25/1998 | Korea   |       |              |
|  |  |   |            |         |       |              |
|  |  |   |            |         |       |              |
|  |  |   |            |         |       |              |
|  |  |   |            |         |       |              |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: August 24, 2004